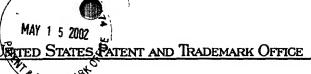


000110

SUITE 720



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

APPLICATION NUMBER

1601 MARKET STREET

PHILADELPHIA, PA 19103-2307

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/079,928

**DANN DORFMAN HERRELL & SKILLMAN** 

02/19/2002

Martin D. Richek

CONFIRMATION NO. 6502

**FORMALITIES LETTER** 

\*OC00000007656852\*

\*OC00000000

Date Mailed: 03/18/2002

### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
   Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$336.
  - \$252 for 14 total claims over 20.
  - \$84 for 1 independent claims over 3.
- The oath or declaration is missing.
   A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1206.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

A copy of this notice MUST be returned with the reply.

05/16/2002 STEUMEL1 00000043 10079928

01 FC:201 370.00 GP 02 FC:203 126.00 GP 03 FC:202 42.00 GP 04 FC:205 65.00 GP



Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

MAN 1 5 2002 IN THE

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

h re the Application of

Docket No. 3434-P02437US1

RICHEK, Martin D.

Art Unit 2171

Application No. 10/079,928

Filed: February 19, 2002

For: TYPE-SAFE HOMOGENEOUS

LINKAGE FOR HETEROGENEOUS

**SMART POINTERS** 

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this Correspondence is being deposited on <u>May 7, 2002</u> with the United States Postal Service as first-class mail in an envelope properly addressed to COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, DC 20231

May 7, 2002

Date of Certificate

Cherry M. Matticks

Commissioner of Patents and Trademarks Washington, DC 20231

# APPLICANT'S RESPONSE TO NOTICE TO FILE MISSING PARTS UNDER 37 C.F.R. §1.53(b)

In response to the Notice to File Missing Parts dated March 18, 2002, Applicant encloses the following papers:

- Fee Transmittal Form for FY 2002 (with Authorization to Charge Deposit Account for any inaccuracies in fee calculation)
- Copy of Notice to File Missing Parts dated 03/18/2002
- 3. Declaration, Power of Attorney and Power to Inspect
- 4. Abstract
- 5. Check in the amount of \$603.00

Respectfully submitted,

DANN, DORFMAN, HERRELL AND SKILLMAN A Professional Corporation Attorneys for Applicant(s)

COPY OF PAPERS ORIGINALLY FILED

Roger W. Herrell, Esq.

PTO Registration No. 22,964

Tel.: 215-563-4100 Fax: 215-563-4044

## COPY OF PAPERS ORIGINALLY FILED

ORIGINALLY FILED

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

EE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

**TOTAL AMOUNT OF PAYMENT** 

Complete if Known		
Application Number	10/079,928	
Filing Date	02/19/2002	
First Named Inventor	RICHEK, Martin D.	
Examiner Name		
Group Art Unit	2171	
Attorney Docket No.	3434-P02437US1	

METHOD OF PAYMENT	FEE CALCULATION (continued)				
1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:	3. ADDITIONAL FEES				
indicated fees and credit any overpayments to: Deposit	Large Small				
Account Number 04-1406	Entity Entity Fee	Fee Paid			
	Code (\$) Code (\$)				
Deposit Account Name Dann, Dorfman, Herrell & Skillman	105 130 205 65 Surcharge - late filing fee or oath	65.00			
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status.	139 130 139 130 Non-English specification				
See 37 CFR 1.27	147 2,520 147 2,520 For filing a request for ex parte reexamination				
2. Payment Enclosed:  Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examıner action				
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
Large Entity Small Entity	116 400 216 200 Extension for reply within second month				
Fee Fee Fee Fee Description	117 920 217 460 Extension for reply within third month				
Code (\$) Code (\$) Fee Paid  101 740 201 370 Utility filing fee 370.00	118 1,440 218 720 Extension for reply within fourth month				
106 330 206 165 Design filing fee	128 1,960 228 980 Extension for reply within fifth month	Ì			
107 510 207 255 Plant filing fee	119 320 219 160 Notice of Appeal				
108 740 208 370 Reissue filing fee	120 320 220 160 Filing a brief in support of an appeal				
114 160 214 80 Provisional filing fee	121 280 221 140 Request for oral hearing				
· · · · · · · · · · · · · · · · · · ·	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$) 370.00	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES Fee from	141 1,280 241 640 Petition to revive - unintentional				
Extra Claims below Fee Paid	142 1,280 242 640 Utility issue fee (or reissue)				
Total Claims $34 - 20^{**} = 14 \times 9.00 = 126.00$	143 460 243 230 Design issue fee				
Independent 4 - 3** = 1 X 42.00 = 42.00	144 620 244 310 Plant issue fee				
Multiple Dependent =	122 130 122 130 Petitions to the Commissioner				
	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)				
102 84 202 42 Independent claims in excess of 3	146 740 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))				
104 280 204 140 Multiple dependent claim, if not paid 109 84 209 42 ** Reissue independent claims	149 740 249 370 For each additional invention to be				
over original patent	examined (37 CFR § 1.129(b))				
110 18 210 9 ** Reissue claims in excess of 20	179 740 279 370 Request for Continued Examination (RCE)				
and over original patent	169 900 169 900 Request for expedited examination of a design application				
SUBTOTAL (2) (\$) 168.00	Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 65	5.00			

SUBMITTED BY			Complete (if applicable)	
Name (Print/Type)	Roger W. Herrell, Esq.	Registration No. (Attorney/Agent) 22,964	Telephone	215.563.4100
Signature	Man	1///	Date	05/07/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.